## 13 Rec'd PUTPTO 20 JAN 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 400290		
	CO	DESIGNATED/ELECTED OFFICE (DO/EO/US) NCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO r(If known, see 37 CFR 1.5)		
	_	ATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED		
		32005/023806 21 July 2004 (21.07.2004)	21 July 2003 (21.07.2003)		
TITLE OF INVENTION APPARATUS AND METHOD FOR MANUFACTURING MICRONEEDLES					
APPLICANT(S) FOR DO/EO/US					
Robert M. Pricone					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	$\boxtimes$	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371	•		
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission	n under 35 U.S.C. 371.		
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 37 (5), (6), (9) and (21) indicated below.	1 (f)). The submission must include items		
4.	$\boxtimes$	The US has been elected (Article 3).			
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))			
		a. is attached hereto (required only if not communicated by the Internation	al Bureau).		
		b. has been communicated by the International Bureau.			
		c. is not required, as the application was filed in the United States Receivi	ng Office (RO/US).		
6.		An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).			
	7	a.  is attached hereto.			
		b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
		a. are attached hereto (required only if not communicated by the Internation	onal Bureau),		
		b. have been communicated by the International Bureau.			
		c. have not been made; however, the time limit for making such amendment	ents has NOT expired.		
		d. have not been made and will not be made.			
8.		An English language translation of the amendments to the claims under PCT Article	e 19 (35 U.S.C. 371 (c)(3)).		
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4))			
10.		An English language translation of the annexes of the International Preliminary Exa Article 36 (35 U.S.C. 371(c)(5)).	mination Report under PCT		
	Item	s 11 to 20 below concern documents) or information included:			
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.		An assignment document for recording. A separate cover sheet in compliance with	37 CFR 3.28 and 3.31 is included.		
13.	13. A preliminary amendment.				
14.		An Application Data Sheet under 37 CFR 1.76.			
15.		A substitute specification.			
16.		A power of attorney and/or change of address letter.			
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13t	er.2and 37 CFR 1.821- 1.825.		
18.		second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19.		A second copy of the English language translation of the international application u	nder 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known\_see\_37 CFR 1.5)

10/505356 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2004/023806 400290 20. Other items or information: Copyof published application; Copy of International Search Report; Copy of Written Opinion; Postcard; Check for \$2,250.00; express mail **CALCULATIONS** PTO USE ONLY The following fees have been submitted 21. 300.00 Basic national fee (37 CFR 1.492(a)) ...... \$300 22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/Us or the international preliminary examination report prepared 200.00 \$ by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ................\$0 All other situations \$200 23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/Us or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 International Searching Authority ......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the USA by the IB ......\$400 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) 37 CFR 1.492(j)) The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets RATE Extra Sheets** thereof (round up to a whole number) 27 \$ -100 =/50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). \$ **CLAIMS** RATE **NUMBER EXTRA** NUMBER FILED 43 23 1,150.00 \$50 Total claims -20 = X X \$200 200.00 Independent claims -3 = MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ **TOTAL OF ABOVE CALCULATIONS =** \$ 2,250.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 2,250.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$ **TOTAL NATIONAL FEE =** 2,250.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** 2,250.00 \$ Amount to be \$ refunded: Amount to be \$ charged:

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a.	$\boxtimes$	A check in the amount of \$ 2,250.00 to cover the above fees is enclosed.			
b.		Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.			
c.	$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-1351</u> . A duplicate copy of this sheet is enclosed.			
d.		Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: SEYFARTH SHAW LLP 55 East Monroe Suite 4200 Chicago, Illinois 60603		Monroe Monroe NAME			
	7				

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APPLICANT: Robert M. Pricone

APPL. SERIAL NO.: n/a

FILING DATE: Herewith

ENTITLED:

APPARATUS AND METHOD FOR MANUFACTURING

**MICRONEEDLES** 

## CERTIFICATE OF EXPRESS MAILING

EXPRESS MAIL MAILING NUMBER: EV 666872472 US

**DATE OF DEPOSIT: January 20, 2006** 

I hereby certify that the attached paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37CFR 1.10 on the date

indicated above and is addressed to Mail Stop: PCT, Commissioner for Patents, Box 1450, Alexandria, VA. 22313-1450

Consuelo Henry

(Name of person mailing paper)

(Signature of person mailing paper)